

NRC INSPECTION MANUAL

IRIB

INSPECTION PROCEDURE 92701

FOLLOWUP

PROGRAM APPLICABILITY: 2504, 2514

92701-01 INSPECTION OBJECTIVES

To ensure that followup inspection is performed for unresolved items

92701-02 INSPECTION REQUIREMENTS

02.01 Unresolved Item Followup. Evaluate the adequacy of any licensee actions, determine if an issue is acceptable, if it is a finding, or a noncompliance (i.e., is it a violation, deviation or nonconformance), and provides enough detail to justify closing the item. If the unresolved item cannot be closed, identify the specific licensee or NRC actions needed to resolve the issue.

- a. Review the unresolved item in the original inspection report and determine what actions are required to satisfactorily close the issue.
- b. Determine whether the unresolved item will be closed using an on-site followup inspection or by in-office review.
- c. Obtain information necessary to close the unresolved item in a timely manner so that it can be closed within one year.
- d. Document the results of this followup inspection activity in an inspection report.

92701-03 INSPECTION GUIDANCE

General Guidance This procedure should be used to close unresolved items which were identified through inspection reports. All unresolved items should be closed within one year. An unresolved item is an issue about which more information is required to determine if it is acceptable, if it is a finding, or if it constitutes a deviation or violation. Such a matter may require additional information from the licensee or cannot be resolved without additional guidance or clarification/interpretation of the existing guidance.

For unresolved items, clearly state what is needed to close the issue. All open and unresolved items must be assigned a sequential tracking number. The action of documenting an open and unresolved item is a commitment of future resources, and should only be used when some specific licensee action is pending or when needed information is not available at the time of the inspection. An unresolved item should not be opened if the resolution is likely to result in a minor issue.

The level of detail devoted to closing unresolved items depends on the nature and significance of the additional information identified. The closure of an unresolved item must summarize the topic, summarize the inspector's follow-up actions, evaluate the adequacy of any licensee actions, determine if a violation has occurred, and provide enough detail to justify closing the item. If resolution to an unresolved item was based on discussions between inspector(s) and NRR technical staff(s), concisely document the details of these discussions as the basis for the regulatory decision. Additionally, branch chiefs of inspector(s) and technical staff(s) who were involved in these discussions should concur on the inspection report.

Specific Guidance

03.01 No inspection guidance

92701-04 RESOURCES ESTIMATE

To be determined at a later date

92701-05 COMPLETION STATUS

Not applicable.

92701-06 REFERENCES

Inspection Manual Chapter (IMC) 0612, "Power Reactor Inspection"
IMC 0610, "Nuclear Material Safety and Safeguards Inspection Reports"

END

ATTACHMENT 1

Revision History for IP 92701

Commitment Tracking Number	Issue Date	Description of Change	Training Needed	Training Completion Date	Comment Resolution Accession Number
NA	08/01/06	Revision history reviewed for the last four years	NA	NA	NA
NA	03/13/07 CN 07-010	<u>IP 92701</u> (Followup) has been revised to be applicable to IMC - 2514, "Light Water Reactor Inspection Program -- Startup Testing Phase."	NA	NA	ML070460087