

NRC INSPECTION MANUAL

FSME/MSSA

INSPECTION PROCEDURE 92703

FOLLOWUP OF CONFIRMATORY ACTION LETTERS OR ORDERS

PROGRAM APPLICABILITY: 2800, 2600

92703-01 INSPECTION OBJECTIVE

To ascertain whether the actions taken by the licensee or individual address the commitments of a confirmatory action letter (CAL) or Order.

92703-02 INSPECTION REQUIREMENTS

For each item in a CAL or Order:

02.01 Identify licensee commitments and actions. Select a sample of licensee activities that are indicative of the actions the licensee committed to accomplish in response to the CAL or Order.

02.02 Determine through on-site inspection of the sample of licensee activities selected whether the following actions taken meet the commitments described in the CAL, or the requirements described in the Order:

- a. Licensee management has forwarded copies of the CAL or Order and any needed instructions to appropriate on-site management representatives.
- b. Corrective actions were taken as described in the CAL or Order.
- c. Corrective actions taken were effective in addressing the issues that resulted in the creation of the CAL or Order.
- d. Operations are being conducted in accordance with the Order, or the requirements have been incorporated into the license.

92703-03 INSPECTION GUIDANCE

General Guidance

CALs and Orders are described in NRC's Enforcement Policy. CALs and Orders are tools used by NRC as a means for confirming or directing licensee or individuals' actions in order to remove significant concerns about health and safety, the environment, safeguards, or security.

A CAL is an administrative mechanism to confirm a licensee's or individual's voluntary agreement to take certain actions. An Order is a written NRC directive to modify, suspend, or revoke a license; to cease and desist from a given practice or activity; or to take some other action.

CALs and Orders are tailored to address a variety of issues and may or may not require followup inspection to verify completion of the specified licensee commitments and actions. This inspection procedure should be followed if it is determined that a follow-up inspection is necessary to close a CAL or verify that a licensee or individual has met the requirements of an Order.

Specific Guidance

03.01 Promptly notify the Office of Enforcement if licensee commitments contained in a CAL or Order have not been met.

03.02 Document the closure of the CAL, to the licensee and the docket file, if all the commitments in the CAL have been met.

03.03 Document to the docket file when the licensee has initially met all the requirements in the Order. In cases where all the requirements have been completed or have been incorporated into the license, document that fact to the docket file.

03.04 CALs or Orders issued to individuals will be inspected and documented in a similar manner to those issued to licensees. Documentation will be placed in ADAMS.

92703-04 RESOURCE ESTIMATE

For planning purposes, the resources for completing this inspection procedure are estimated to be 16 hours of direct inspection effort. The actual inspection resources necessary to complete this inspection procedure may vary with each CAL.

END

Revision History for IP 92703

| Commitment Tracking Number | Issue Date | Description of Change | Training Needed | Training Completion Date | Comment Resolution Accession Number |
|----------------------------|-----------------------|--|-----------------|--------------------------|-------------------------------------|
| N.A. | 05/13/09 CN 09-012 | Completed 4 year historical CN search The document is being revised ensure follow up of Orders. | None | N.A. | ML091330194 |
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