

Risk-Informed Decision Making Approach for Inflow Design Flood Selection and Accomodation for Dams

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RAC

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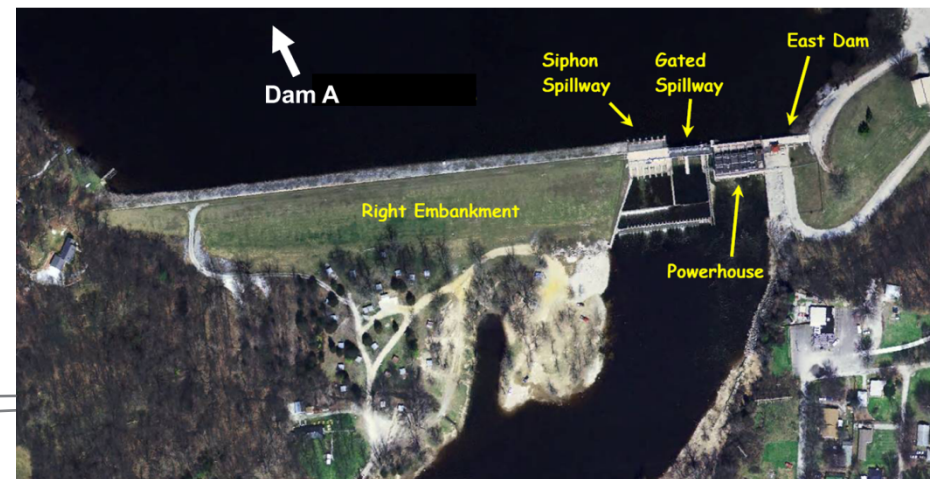
BUILDING A BETTER WORLD

RIDM for IDF Selection for Dams

- Presentation Outline
 1. Background & Introduction
 2. Context and Scope
 3. Risk Assessment
 4. Decisions Made (RIDM)

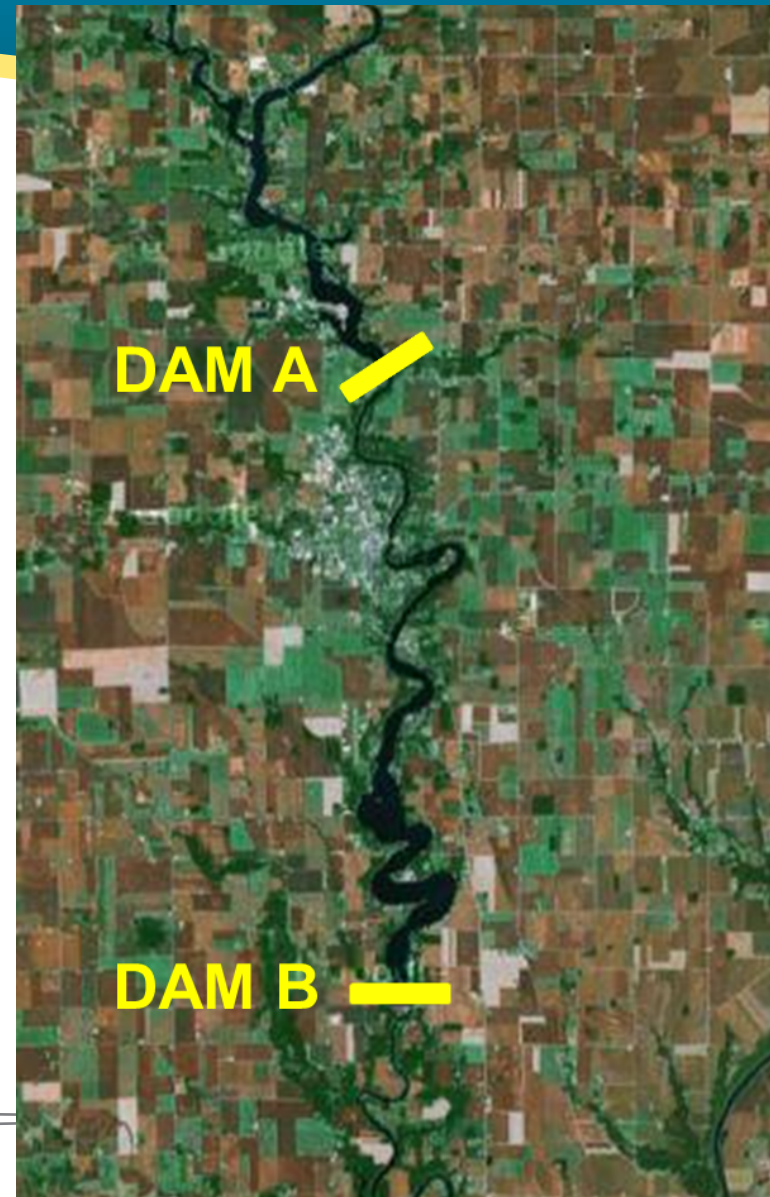
1. Background and Introduction

- Two run-of-river dams
 - Inflow = Outflow
 - No flood storage in reservoirs
 - Dams in series on same river
- Constructed for hydropower
- Located in rural Midwest
- Classified as high-hazard
- Regulated by FERC
- Existing dams would be overtopped by PMF
- Need to accommodate IDF
- RIDM approach utilized



2. Context and Scope

- Context of Risk Assessment
 - Significant percentage of population at risk around lakes
 - Flooding of dwellings around lakes occurs with small increases in lake levels
 - Significant difference in winter and summer populations
 - Significant difference in winter and summer flood hydrographs
 - Population impacted by floods before overtopping of dams
 - Well functioning EAP



2. Context and Scope

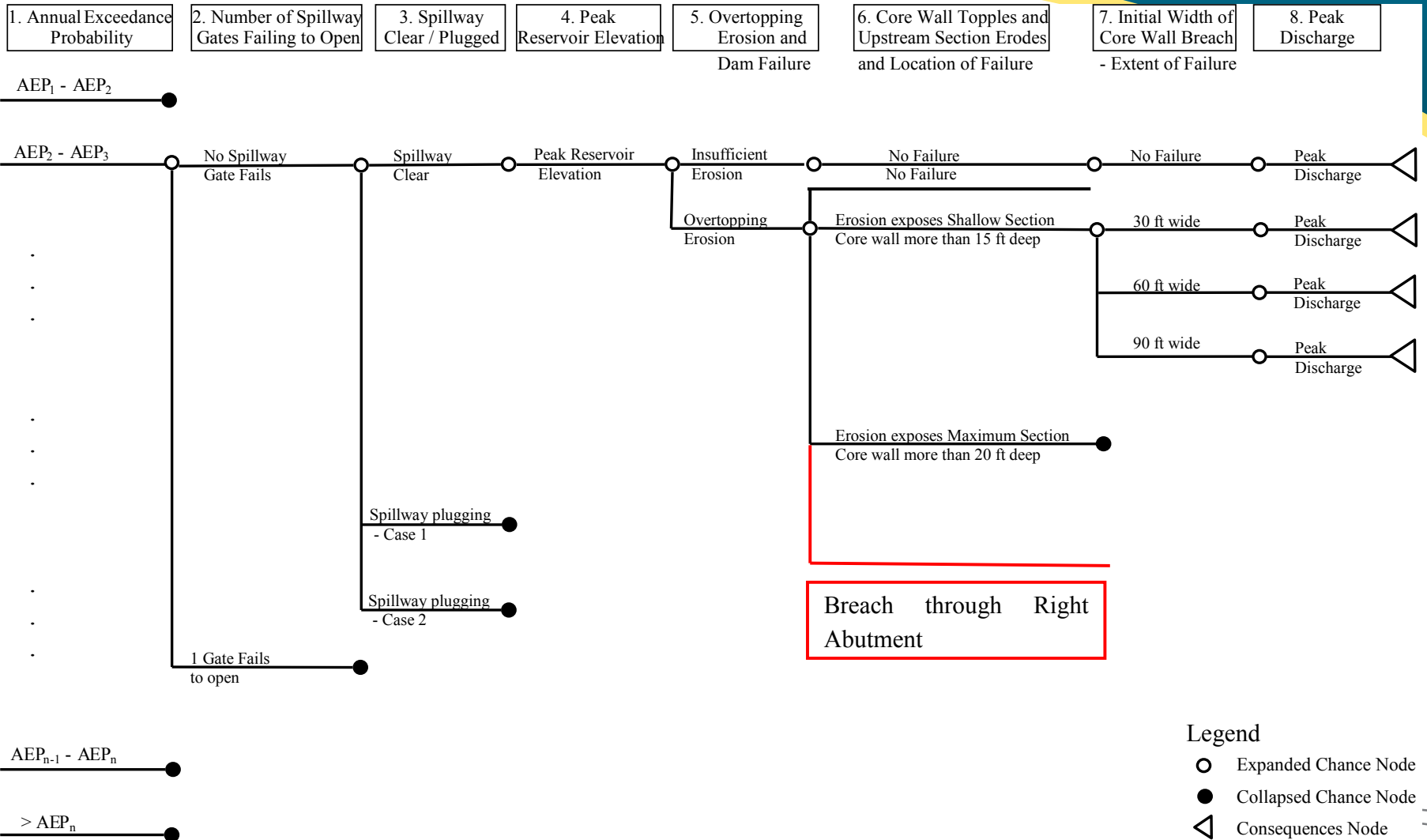
- Scope of Risk Assessment
 - Assess baseline risks (risks with dams in existing condition) (BRA)
 - Identify risk reduction measures
 - Assess risk reduction measures (RRA)
 - Evaluate risk reduction measures relative to FERC IDF definition

3. Risk Assessment

- Potential Failure Modes Analysis (PFMA)
 - Per FERC guidelines
 - Credible and Significant PFMs included in RA
 - Flood-related
 - Focused on overtopping and erosion of embankments at dams
- Risk Assessment Workshop
 - Same participants as PFMA
 - Develop event tree & system response probabilities (SRP's)
 - Including a range of uncertainty
 - Spillway gate reliability and spillway plugging with debris

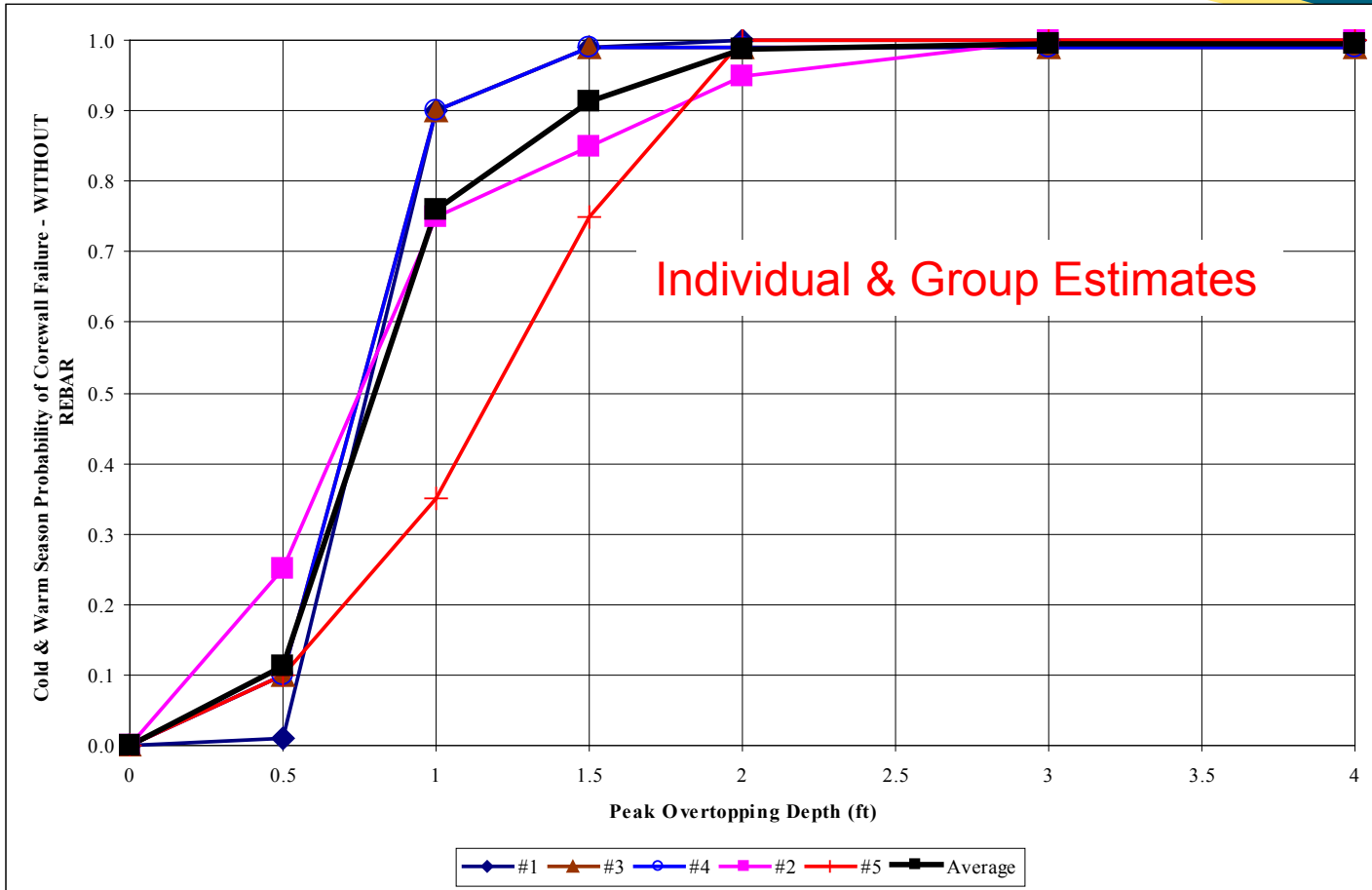
3. Risk Assessment

• Event Trees



3. Risk Assessment

- System Response Probabilities



3. Risk Assessment

- Estimating Consequences
 - Breach inundation runs
 - Affected structures
 - Population at risk
 - Life loss (LIFESim methodology by Aboelata and Bowles)

| Season | Peak Discharge at Norway (cfs) | Width of the first breach (feet) | Depth of breaches (feet) | Case (new numbering) |
|--------------|--------------------------------|----------------------------------|--------------------------|----------------------|
| Cold | 39000 | No failure | No failure | NF1 |
| | | 30 | 20 | NF2 |
| | | 120 | 20 | NF3 |
| | | 30 | 15 | NF4 |
| | | 120 | 15 | NF5 |
| | | R. Embkmt. F | 8.3 | NF6 |
| | 51000 | No failure | No failure | NF7 |
| | | 30 | 20 | NF8 |
| | | 120 | 20 | NF9 |
| | | 30 | 15 | NF10 |
| | | 120 | 15 | NF11 |
| | | R. Embkmt. F | 8.3 | NF12 |
| | 70000 | No failure | No failure | NF13 |
| | | 30 | 20 | NF14 |
| | | 120 | 20 | NF15 |
| | | 30 | 15 | NF16 |
| | | 120 | 15 | NF17 |
| | | R. Embkmt. F | 8.3 | NF18 |
| | 90000 | No failure | No failure | NF19 |
| | | 30 | 20 | NF20 |
| | | 120 | 20 | NF21 |
| | | 30 | 15 | NF22 |
| | | 120 | 15 | NF23 |
| | | R. Embkmt. F | 8.3 | NF24 |
| PMF | No failure | No failure | NF25 | |
| | 30 | 20 | NF26 | |
| | 120 | 20 | NF27 | |
| | 30 | 15 | NF28 | |
| | 120 | 15 | NF29 | |
| | R. Embkmt. F | 8.3 | NF30 | |
| | No failure | No failure | NF31 | |
| | 30 | 20 | NF32 | |
| | 120 | 20 | NF33 | |
| | 30 | 15 | NF34 | |
| 120 | 15 | NF35 | | |
| R. Embkmt. F | 8.3 | NF36 | | |
| PMF | No failure | No failure | NF37 | |
| | 30 | 20 | NF38 | |
| | 120 | 20 | NF39 | |
| | 30 | 15 | NF40 | |
| | 120 | 15 | NF41 | |
| | R. Embkmt. F | 8.3 | NF42 | |
| | No failure | No failure | NF43 | |
| | 30 | 20 | NF44 | |
| 120 | 20 | NF45 | | |
| PMF | 30 | 15 | NF46 | |
| | 120 | 15 | NF47 | |
| | R. Embkmt. F | 8.3 | NF48 | |

| Flood Zone | Rate of Life Loss (Range) | Rate of Life Loss (Average) |
|-------------|---------------------------|-----------------------------|
| Safe | 0 - <1% | 0.02% |
| Compromised | 0 – 50% | 12% |
| Chance | 50 – 100% | 91.45% |

3. Risk Assessment

- Tolerable Risks
 1. F-N Charts (ANCOLD & HSE)
 2. f-N Charts (Reclamation)
 3. Summary Tables

| Rating Code | Explanation |
|------------------------|--|
| N-StrongL&S | Strong justification for long- and short-term risk reduction measures |
| N | Strong justification for long-term risk reduction measures |
| Y-ALARP? | Diminished justification for long-term risk reduction measures, but ALARP (as low as reasonably practicable) still needs to be evaluated |
| Y | Meets tolerable risk guideline and meets ALARP |

3. Risk Assessment

- Risk Reduction Assessment
 - Improve warning & evacuation effectiveness (not explicitly evaluated, but measures being implemented anyway)
 - Remove dams (not feasible)
 - Land acquisition (not feasible)
 - Improve gate reliability (not explicitly evaluated)
 - Trash/debris booms (not explicitly evaluated)
 - Raise embankment dams (not considered further)
 - Re-evaluate East Dam at Dam B to determine whether stability improvements are needed
 - **Add additional spillway capacity**
 - **Armor embankments**
 - **Do nothing (baseline case)**
 - Appropriate changes to event tree inputs to represent each alternative

3. Risk Assessment

Dam A Summary of Annual Probabilities of Failure

| Alternative: | Description: | Discharge (cfs): | Estimated Total Annual Probability of Failure | |
|--------------|--|------------------|---|--------------------------------------|
| | | | Baseline Case: | No Blockage & 100% Gate Reliability: |
| A | Do nothing | 39,000 | 1 in 700 | 1 in 1,300 |
| B | Provide functional flashboards on overflow spillway | 43,700 | 1 in 1,400 | 1 in 2,800 |
| C.0 | Lower overflow spillway crest and add gates to pass additional flow over the overflow spillway | 75,500 | 1 in 41,800 | 1 in 62,300 |
| C.1 | Lower overflow spillway crest and add gates | 53,000 | 1 in 5,400 | 1 in 10,500 |
| C.1R | Same as C.1, but includes contribution of trash gate to overall discharge. | 57,000 | 1 in 8,200 | 1 in 14,500 |
| C.2 | Lower overflow spillway crest and add gates | 60,000 | 1 in 11,700 | 1 in 18,500 |
| D | Add gated spillway on left embankment and lower overflow spillway crest (maximize capacity through Dam A). | 100,600 | 1 in 181,000 | Not computed |
| E | Armor left embankment and right rim | 39,000 | 1 in 8,000,000 | Not computed |

4. Decisions Made

- Selected alternatives a result of RIDM
- Alternative C.2 at Dam A:
 - 60,000 cfs capacity ~ 103% warm PMF/27% cold PMF
- Alternative B.2 at Dam B
 - 65,000 cfs capacity ~ 108% warm PMF/28% cold PMF
- Meet all tolerable risk guidelines
- Spillway capacity additions accepted by FERC
- Very low cost effectiveness for reducing life loss for risk reduction alternatives (ALARP satisfied)
- Alternatives in design and construction phase



END