



SECRETARY

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

January 21, 2015

COMMISSION VOTING RECORD

DECISION ITEM: SECY-14-0077

TITLE: STATUS UPDATE AND PROPOSED POLICY REVISION:  
TASKS RELATED TO ALTERNATIVE DISPUTE  
RESOLUTION IN THE ENFORCEMENT PROGRAM

The Commission (with all Commissioners agreeing) approved the subject paper as recorded in the Staff Requirements Memorandum (SRM) of January 21, 2015.

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Commission.

A handwritten signature in black ink that reads "Rochelle C. Baval".

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Rochelle C. Baval  
Acting Secretary of the Commission

Attachments:

1. Voting Summary
2. Commissioner Vote Sheets

cc: Chairman Burns  
Commissioner Svinicki  
Commissioner Ostendorff  
Commissioner Baran  
OGC  
EDO  
PDR

VOTING SUMMARY - SECY-14-0077

RECORDED VOTES

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIP	COMMENTS	DATE
CHRM. BURNS	X					12/4/14
COMR. SVINICKI	X					1/14/15
COMR. OSTENDORFF	X				X	8/13/14
COMR. BARAN	X				X	1/13/15

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary  
FROM: Commissioner Burns  
SUBJECT: SECY-14-0077: STATUS UPDATE AND PROPOSED  
POLICY REVISION: TASKS RELATED TO  
ALTERNATIVE DISPUTE RESOLUTION IN THE  
ENFORCEMENT PROGRAM

Approved  Disapproved  Abstain

Not Participating

COMMENTS: Below  Attached  None



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SIGNATURE

4 December 2014

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DATE

Entered on "STARS" Yes  No

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary  
FROM: Commissioner Svinicki  
SUBJECT: SECY-14-0077: STATUS UPDATE AND PROPOSED  
POLICY REVISION: TASKS RELATED TO  
ALTERNATIVE DISPUTE RESOLUTION IN THE  
ENFORCEMENT PROGRAM

Approved  Disapproved  Abstain

Not Participating

COMMENTS: Below  Attached  None

  
\_\_\_\_\_  
SIGNATURE

1-14-15  
\_\_\_\_\_  
DATE

Entered on "STARS" Yes  No

**NOTATION VOTE**

**RESPONSE SHEET**

**TO:** Annette Vietti-Cook, Secretary

**FROM:** COMMISSIONER OSTENDORFF

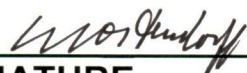
**SUBJECT:** SECY-14-0077: STATUS UPDATE AND PROPOSED  
POLICY REVISION: TASKS RELATED TO  
ALTERNATIVE DISPUTE RESOLUTION IN THE  
ENFORCEMENT PROGRAM

Approved  X  Disapproved \_\_\_\_\_ Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

**COMMENTS:** Below  X  Attached \_\_\_\_\_ None \_\_\_\_\_

I agree with the staff's conclusion that the expansion of the alternative dispute resolution program is beneficial to advancing the agency's mission. I therefore approve the staff's recommendation to revise the NRC's Enforcement Policy to expand the scope of the alternative dispute resolution program to include nonwillful (traditional) enforcement cases with the potential for civil penalties.

  
\_\_\_\_\_  
**SIGNATURE**

8/13/14  
\_\_\_\_\_  
**DATE**

Entered on "STARS" Yes  X  No \_\_\_\_\_

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary  
FROM: Commissioner Baran  
SUBJECT: SECY-14-0077: STATUS UPDATE AND PROPOSED  
POLICY REVISION: TASKS RELATED TO  
ALTERNATIVE DISPUTE RESOLUTION IN THE  
ENFORCEMENT PROGRAM

Approved X Disapproved \_\_\_\_\_ Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

COMMENTS: Below X Attached \_\_\_\_\_ None \_\_\_\_\_

I agree with the staff that expanding the use of alternative dispute resolution to nonwillful (traditional) enforcement cases with the potential for civil penalties is beneficial to the agency's mission. The proposed expansion of ADR provides "the opportunity to improve public safety through broader and more comprehensive corrective actions" without restricting NRC's ability to obtain civil penalties when appropriate. I therefore approve the staff's recommendation.

  
SIGNATURE

1/13/15  
DATE

Entered on "STARS" Yes ✓ No \_\_\_\_\_