



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

September 14, 2018

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), as directed by the Social Security Number Fraud Prevention Act of 2017 (Public Law 115-59), I am submitting the NRC's second report containing the title and identification number of all of the types of documents sent by mail that the NRC used during the past year that include the complete social security number of an individual and an update on the NRC's plan for compliance with the Act.

Please feel free to contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at (301) 415-1776, if you have any questions or need additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read "Kristine L. Svinicki".

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative Elijah Cummings

**U.S. Nuclear Regulatory Commission Second Report in Response to the
Social Security Number Fraud Prevention Act of 2017**

Updated Plan for Compliance

As stated in the first report, the U.S. Nuclear Regulatory Commission (NRC) will issue regulations consistent with the Social Security Number Fraud Prevention Act of 2017 by September 15, 2022. The regulations will specify the circumstances under which inclusion of a complete social security number in a document sent by mail is necessary. The NRC will also develop procedures for the partial redaction of social security numbers where feasible and will instruct that social security numbers not be visible on the outside of any package sent by mail. At this time, the NRC anticipates completing the stated activities by the given target dates.

The following section lists the documents sent by mail that the NRC used during the past year and included an individual's complete social security number. About 90 percent of the listed documents are government-wide forms. The NRC will coordinate with other agencies, as appropriate, during the development of the regulations.

Listing of Documents Sent by Mail

Document Title	Document Identification Number
Cumulative Occupational Dose History	NRC Form 4
Occupational Dose Record for a Monitoring Period	NRC Form 5
Contractor, Other Government Agency Employee, or Foreign Assignee Badge Request	NRC Form 89
Personnel Security Clearance Request and Notification	NRC Form 236
Request for Access Authorization	NRC Form 237
NRC Student Loan Repayment Program	NRC Form 691
Government Travel Card Individual Liability	NRC Form 780
Request for NRC Contractor Building Access Authorization	NRC Form 850A
Request for NRC Contractor Information Technology ACCESS Authorization	NRC Form 850B
Request for NRC Contractor Security Clearance	NRC Form 850C
Request for Employment Information	Centers for Medicare & Medicaid Services L564
Certificate of Release or Discharge from Active Duty	DD-214
Federal Employee's Notice of Traumatic Injury and Claim for Continuation of Pay/Compensation	U.S. Department of Labor CA-1
Notice of Occupational Disease and Claim for Compensation	U.S. Department of Labor CA-2
Claim for Compensation	U.S. Department of Labor CA-7
Release—Fair Credit Reporting Act of 1970, as amended	Fair Credit Reporting Act of 1970, as amended
Fingerprint Cards	FD 258 and SF 87

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Document Title	Document Identification Number
Miscellaneous Income	Internal Revenue Service (IRS) Form 1099
Automated Separation and Retirement Data Sheet	No identification number
Employee Express Health Benefits History Report	No identification number
Payroll Deductions for Post-1956 Military Deposit Memorandum	No identification number
Post-1956 Military Deposit Payment Election Memorandum	No identification number
Retirement Reserve Order	No identification number
Transmittal to Payroll Operations Division	No identification number
U.S. Department of State Official Passport Application	No identification number
Visitor Certification for Pentagon Access	No identification number
Preliminary Information to Office of Federal Employees' Group Life Insurance Regarding the Death of an Employee	No identification number
Quick-Pay Worksheet for Employee Death in Service	No Identification number
Declaration for Federal Employment	OF 306
Military Deposit Worksheet	OPM-1514
Military Service Deposit Election	OPM-1515
Estimated Earnings During Military Service	RI 20-97
Certification of Service Performed as a Law Enforcement Officer, Firefighter, Nuclear Materials Courier, Customs and Border Protection Officer (535 Service), or Air Traffic Controller	RI 20-124
Assignment: Federal Employees' Group Life Insurance (FEGLI) Program	RI 76-10
Notification of Personnel Action	SF 50
Request for Personnel Action	SF 52
Self-Identification of Disability	SF 256
Classified Information Nondisclosure Agreement	SF 312
Verification of a Military Retiree's Service in NonWartime Campaigns or Expeditions	SF 813
Record of Leave Data	SF 1150
Designation of Beneficiary: Unpaid Compensation of Deceased Civilian Employee	SF 1152
Claim for Compensation of Deceased Civilian Employee	SF 1153
Application for Death Benefits (Civil Service Retirement System (CSRS))	SF 2800
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (CSRS)	SF 2800A

**U.S. Nuclear Regulatory Commission Second Report in Response to the
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Document Title	Document Identification Number
Application for Immediate Retirement (CSRS)	SF 2801
Certified Summary of Federal Service (CSRS)	SF 2801-1
Spouse's Consent to Survivor Election (CSRS)	SF 2801-2
Schedules A, B, C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation (CSRS)	SF 2801-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures	SF 2801-D
Application for Refund of Retirement Deductions (CSRS)	SF 2802
Application to Make Deposit or Redeposit (CSRS)	SF 2803
Application to Make Voluntary Contributions (CSRS)	SF 2804
Designation of Beneficiary (CSRS)	SF 2808
Health Benefits Election Form (CSRS)	SF 2809
Notice of Change in Health Benefits Enrollment (CSRS)	SF 2810
Life Insurance Election (FEGLI Program)	SF 2817
Continuation of Life Insurance Coverage as an Annuitant or Compensationner (FEGLI Program)	SF 2818
Notice of Conversion Privilege (FEGLI Program)	SF 2819
Certification of Insured Employee's Retired Status (FEGLI Program)	SF 2820
Agency Certification of Insurance Status (FEGLI Program)	SF 2821
Request for Insurance (FEGLI Program)	SF 2822
Life Insurance Designation of Beneficiary (FEGLI Program)	SF 2823
Designation of Beneficiary (Federal Employees Retirement System (FERS))	SF 3102
Register of Separations and Transfers (FERS)	SF 3103
Application for Death Benefits (FERS)	SF 3104

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Document Title	Document Identification Number
Documentation and Election in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (FERS)	SF 3104B
Application for Refund of Retirement Deductions (FERS)	SF 3106
Application for Immediate Retirement (FERS)	SF 3107
Certified Summary of Federal Service (FERS)	SF 3107-1
Spouse's Consent to Survivor Election (FERS)	SF 3107-2
Schedules A, B, and C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation Information (FERS)	SF 3107-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures (FERS)	SF 3107-D
Application to Make Service Credit Payment (FERS)	SF 3108
Application to Pay Military Deposit for Military Service Performed After December 31, 1956	SF 3108A
Election of Coverage (FERS)	SF 3109
Former Spouse's Consent to FERS Election (FERS)	SF 3110
Documentation in Support of Disability Retirement Application	SF 3112
Applicant's Statement of Disability (In Connection with Disability Retirement Under the CSRS or FERS)	SF 3112A
Thrift Savings Plan Election Form	TSP-1
Thrift Savings Plan Catch-Up Contribution Election	TSP-1-C
Employee's Withholding Allowance Certificate	IRS Form W-4
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P

Identical letters sent to:

The Honorable Trey Gowdy
Chairman, Committee on Oversight
and Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Elijah Cummings

The Honorable Kevin Brady
Chairman, Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative Richard Neal

The Honorable Ron Johnson
Chairman, Committee on Homeland
Security and Governmental Affairs
United States Senate
Washington, DC 20510
cc: Senator Claire McCaskill

The Honorable Orrin Hatch
Chairman, Committee on Finance
United States Senate
Washington, DC 20510
cc: Senator Ron Wyden

The Honorable John Barrasso
Chairman, Committee on Environment
and Public Works
United States Senate
Washington, DC 20510
cc: Senator Thomas R. Carper

The Honorable Greg Walden
Chairman, Committee on Energy
and Commerce
United States House of Representatives
Washington, DC 20515
cc: Representative Frank Pallone, Jr.

The Honorable Sam Johnson
Chairman, Subcommittee on Social Security
Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative John B. Larson

The Honorable Mark Meadows
Chairman, Subcommittee on
Government Operation
Committee on Oversight and
Government Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Gerald E. Connolly