



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

September 15, 2020

The Honorable Carolyn Maloney
Chairwoman, Committee on Oversight
and Reform
United States House of Representatives
Washington, DC 20515

Dear Madam Chairwoman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), I am pleased to report that on June 2, 2020, the NRC issued new regulations to comply with the Social Security Fraud Prevention Act of 2017. The completion of this rulemaking is detailed in the enclosed updated report. The NRC's fourth report also contains the title and identification number of all of the types of documents sent by mail that the NRC used during the past year that include the complete social security number of an individual.

Please feel free to contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at (301) 415-1776, if you have any questions or need additional information.

Sincerely,

Kristine L. Svinicki

Enclosure:
As stated

cc: Representative James Comer

NRC's Fourth Report on Compliance with the Social Security Number Fraud Prevention Act of 2017

Plan for Compliance

On June 2, 2020, the U.S. Nuclear Regulatory Commission (NRC) issued regulations (85 FR 33527)¹ effective as of August 17, 2020 that amend its requirements in order to comply with the Social Security Number Fraud Prevention Act of 2017. This appears in part 9 of title 10 of the *Code of Federal Regulations*. The regulations now specify when the inclusion of an individual's complete Social Security Number (SSN) is necessary, maximize the use of partially redacted SSNs where feasible, require that SSNs not be visible on the outside of any package sent by mail to or from the NRC, and direct that documents sent by mail only include the SSN of an individual when it is determined by the head of the agency that inclusion is necessary.

The table below lists the documents sent by mail that the NRC used during the past year and that included an individual's complete SSN, which was not visible on the outside of any package. Approximately 83 percent of the listed documents are forms used across the Federal Government.

Listing of Documents Sent by Mail

Document Title	Document Identification Number
Cumulative Occupational Dose History	NRC Form 4
Occupational Dose Record for a Monitoring Period	NRC Form 5
Travel Voucher	NRC Form 64
Contractor, Other Government Agency Employee, or Foreign Assignee Badge Request	NRC Form 89
Personnel Security Clearance Request and Notification	NRC Form 236
Request for Access Authorization	NRC Form 237
Request for Visit	NRC Form 277
Certification of Medical Examination by Facility Licensee	NRC Form 396
Freedom of Information – Privacy Act Record Request	NRC Form 507
Request for an Authorization of Release of Dosimetry Records	NRC Form 525
Authorization to Receive an NRC Dosimeter	NRC Form 537
Request for NRC Contractor Building Access Authorization	NRC Form 850A
Request for NRC Contractor Information Technology (IT) Access Authorization	NRC Form 850B

¹ <https://www.govinfo.gov/content/pkg/FR-2020-06-02/pdf/2020-11900.pdf>

Document Title	Document Identification Number
Request for NRC Contractor Security Clearance	NRC Form 850C
Request for Employment Information	Centers for Medicare & Medicaid Services L564
Certificate of Release or Discharge from Active Duty	DD-214
Federal Employee's Notice of Traumatic Injury and Claim for Continuation of Pay/Compensation	U.S. Department of Labor CA-1
Notice of Occupational Disease and Claim for Compensation	U.S. Department of Labor CA-2
Claim for Compensation	U.S. Department of Labor CA-7
Fingerprint Cards	FD 258 and SF 87
Miscellaneous Income	Internal Revenue Service (IRS) Form 1099
Employee Express Health Benefits History Report	No identification number
Payroll Deductions for Post-1956 Military Deposit Memorandum	No identification number
Post-1956 Military Deposit Payment Election Memorandum	No identification number
Quick-Pay Worksheet for Employee Death in Service	No identification number
Declaration for Federal Employment	OF 306
Military Deposit Worksheet	OPM-1514
Military Service Deposit Election	OPM-1515
Estimated Earnings During Military Service	RI 20-97
Certification of Service Performed as a Law Enforcement Officer, Firefighter, Nuclear Materials Courier, Customs and Border Protection Officer (535 Service), or Air Traffic Controller	RI 20-124
Assignment: Federal Employees' Group Life Insurance (FEGLI) Program	RI 76-10
Questionnaire for National Security	SF 86
Self-Identification of Disability	SF 256
Classified Information Nondisclosure Agreement	SF 312
Verification of a Military Retiree's Service in Nonwartime Campaigns or Expeditions	SF 813
Record of Leave Data	SF 1150

Document Title	Document Identification Number
Designation of Beneficiary: Unpaid Compensation of Deceased Civilian Employee	SF 1152
Claim for Compensation of Deceased Civilian Employee	SF 1153
Application for Death Benefits (Civil Service Retirement System (CSRS))	SF 2800
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (CSRS)	SF 2800A
Application for Immediate Retirement (CSRS)	SF 2801
Certified Summary of Federal Service (CSRS)	SF 2801-1
Spouse's Consent to Survivor Election	SF 2801-2
Schedules A, B, C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation	SF 2801-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures	SF 2801-D
Application for Refund of Retirement Deductions (CSRS)	SF 2802
Application to Make Deposit or Redeposit (CSRS)	SF 2803
Application to Make Voluntary Contributions (CSRS)	SF 2804
Designation of Beneficiary (CSRS)	SF 2808
Health Benefits Election Form	SF 2809
Notice of Change in Health Benefits Enrollment	SF 2810
Life Insurance Election (Federal Employees' Group Life Insurance Program (FEGLI)) Program	SF 2817
Continuation of Life Insurance Coverage as an Annuitant or Compensation (FEGLI Program)	SF 2818
Notice of Conversion Privilege (FEGLI Program)	SF 2819
Certification of Insured Employee's Retired Status (FEGLI Program)	SF 2820
Agency Certification of Insurance Status (FEGLI Program)	SF 2821
Request for Insurance (FEGLI Program)	SF 2822
Designation of Beneficiary (FEGLI Program)	SF 2823
Designation of Beneficiary (Federal Employees Retirement System (FERS))	SF 3102

Document Title	Document Identification Number
Register of Separations and Transfers (FERS)	SF 3103
Application for Death Benefits (FERS)	SF 3104
Documentation and Election in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death	SF 3104B
Application for Refund of Retirement Deductions (FERS)	SF 3106
Application for Immediate Retirement (FERS)	SF 3107
Certified Summary of Federal Service (FERS)	SF 3107-1
Spouse's Consent to Survivor Election	SF 3107-2
Schedules A, B, and C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation Information	SF 3107-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures (FERS)	SF 3107-D
Application to Make Service Credit Payment (FERS)	SF 3108
Application To Pay Military Deposit for Military Service Performed After December 31, 1956	SF 3108A
Election of Coverage (FERS)	SF 3109
Former Spouse's Consent to FERS Election (FERS)	SF 3110
Documentation in Support of Disability Retirement Application	SF 3112
Applicant's Statement of Disability (In Connection with Disability Retirement Under the CSRS or FERS)	SF 3112A
Thrift Savings Plan Election Form	TSP-1
Thrift Savings Plan Catch-Up Contribution Election	TSP-1-C
Employee's Withholding Allowance Certificate	IRS Form W-4
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P
U.S. Department of State Official Passport Applications	DS-82 (Renewal Application)

Identical letters sent to:

The Honorable Carolyn Maloney
Chairwoman, Committee on Oversight
and Reform
United States House of Representatives
Washington, DC 20515
cc: Representative James Comer

The Honorable John Larson
Chairman, Subcommittee on Social Security
House Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative Tom Reed

The Honorable Richard Neal
Chairman, Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative Kevin Brady

The Honorable Ron Johnson
Chairman, Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, DC 20510
cc: Senator Gary C. Peters

The Honorable Chuck Grassley
Chairman, Committee on Finance
United States Senate
Washington, DC 20510
cc: Senator Ron Wyden

The Honorable Frank Pallone
Chairman, Committee on Energy
and Commerce
United States House of Representatives
Washington, DC 20515
cc: Representative Greg Walden

The Honorable John A. Barrasso
Chairman, Committee on Environment
and Public Works
United States Senate
Washington, DC 20510
cc: Senator Thomas R. Carper

The Honorable Gerald Connolly
Chairman, Subcommittee on
Government Operations
House Committee on Oversight and Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Jody Hice