



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

April 13, 2021

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audit

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S.
NUCLEAR REGULATORY COMMISSION'S OVERSIGHT
OF SUPPLEMENTAL INSPECTION CORRECTIVE
ACTIONS (OIG-19-A-19)

REFERENCE: DIRECTOR, OFFICE OF NUCLEAR REACTOR
REGULATION, MEMORANDUM DATED MARCH 30, 2021

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated March 20, 2021. Based on this response, recommendation 1 is closed and recommendation 2 is open and resolved. Please provide a status update for the open and resolved recommendation by **October 31, 2021**.

If you have questions or concerns, please call me at (301) 415-5915, or Paul Rades, Team Leader, at (301) 415-6228.

Attachment: As stated

cc: C. Haney, OEDO
S. Miotla, OEDO
J. Jolicoeur, OEDO
S. Mroz, OEDO
RidsEdoMailCenter Resource
OIG Liaison Resource
EDO_ACS Distribution

Audit Report

AUDIT OF NRC'S OVERSIGHT OF SUPPLEMENTAL INSPECTION CORRECTIVE ACTIONS

OIG-19-A-19

Status of Recommendations

Recommendation 1: Update NRC inspection guidance to support documentation of significant planned corrective actions associated with 95001 and 95002 supplemental inspections.

Agency Response Dated
March 30, 2021:

On October 10, 2019, the staff stated that they agreed with the recommendation and planned to update the NRC inspection governance to support documentation of significant planned corrective actions associated with inspection procedures (IPs) IPs 95001 and 95002 supplemental inspections by August 31, 2020. This alignment is captured in an OIG letter dated November 21, 2019.

On October 1, 2020, the staff reported that changes to IP 95001 had been drafted with plans to issue the procedure by October 1, 2020. However, during the IP 95001 revision process, the staff determined that additional changes were warranted which delayed the revisions.

On October 21, 2020, the staff issues IP 95001 with enhancements. It became effective on January 1, 2021.

The staff issued IP 95002 on March 19, 2021, with an effective date of April 1, 2021.

Contact: Ross Telson, NRR/DRO
(301) 415-2256

OIG Analysis:

The OIG reviewed the updates to IPs 95001 and 95002 and Inspection Manual Chapter 0611, Appendix C, and verified that the updates support appropriate documentation of significant planned corrective actions associated with 95001 and 95002 supplemental inspections. This recommendation is closed.

Status:

Closed.

Audit Report

AUDIT OF NRC'S OVERSIGHT OF SUPPLEMENTAL INSPECTION CORRECTIVE ACTIONS

OIG-19-A-19

Status of Recommendations

Recommendation 2: Implement an efficient means for inspectors to readily identify and retrieve information about completed and planned corrective actions associated with 95001 and 95002 supplemental inspections.

Agency Response Dated
March 30, 2021:

On October 10, 2019, the staff stated that they agreed with the recommendation and planned to make improvements in governance needed to support an efficient means for inspectors to readily identify and retrieve information about completed and planned corrective actions associated with IPs 95001 and 95002. The staff stated that they would specifically update Inspection Manual Chapter (IMC) 0611, Appendices C and D, the governance for documenting both supplemental inspection and baseline problem identification and resolution inspection reports, respectively, and other governance, as necessary by August 31, 2020.

On October 1, 2020, the staff reported that the Reactor Program Systems (RPS or ISTAR) software was revised on May 29, 2020, to create a tracking mechanism to efficiently allow inspectors to readily identify and retrieve information about completed and planned corrective actions associated with IPs 95001 and 95002 supplemental inspections. The staff indicated that IMC 2515, Appendix B, and IMC 0611, Appendix C were also being revised and expected them to be issued by December 31, 2020. On October 21, 2020, the staff issued IMC 2515, Appendix B and IMC 0611, Appendix C with an effective date of January 1, 2021.

This completes NRC committed actions to address Recommendations 1 and 2.

OIG Analysis: The proposed actions meet the intent of this recommendation. This recommendation will be closed after

Audit Report

AUDIT OF NRC'S OVERSIGHT OF SUPPLEMENTAL INSPECTION CORRECTIVE ACTIONS

OIG-19-A-19

Status of Recommendations

Recommendation 2 (cont.):

staff from the Division of Reactor Oversight demonstrate how the RPS/ISTAR tracking mechanism allows inspectors to readily identify and retrieve information about completed and planned corrective actions associated with IPs 95001 and 95002 supplemental inspections.

Status: Open: Resolved.