



CHAIRMAN

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

September 2, 2021

The Honorable Carolyn B. Maloney
Chairman, Committee on Oversight
and Reform
United States House of Representatives
Washington, DC 20515

Dear Madam Chairwoman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), as directed by the Social Security Number Fraud Prevention Act of 2017 (Public Law 115-59), I am submitting the NRC's report containing the title and identification number of all of the types of documents sent by mail that the NRC used during the past year that include the complete social security number of an individual. Additionally, the NRC amended its regulations in August 2020, thereby complying with the Act's requirement that within 5 years of its enactment agencies issue regulations specifying the circumstances under which inclusion of a social security number on a document sent by mail is necessary.

If you have questions or need additional information, please feel free to contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs 301-415-1776.

Sincerely,

A handwritten signature in black ink, appearing to read "Christopher T. Hanson".

Christopher T. Hanson

Enclosure:
As stated

cc: Representative James Comer

NRC's Report on Compliance with the Social Security Number Fraud Prevention Act of 2017

Update on Plan for Compliance

The U.S. Nuclear Regulatory Commission (NRC) has complied with the requirement from the Social Security Number Fraud Prevention Act of 2017 that within 5 years agencies issue regulations designed to protect and limit the use of social security numbers (SSNs). In August 2020, the NRC amended its regulations to specify when including an individual complete SSN is necessary, maximize the use of partially redacted SSNs where feasible, require that SSNs not be visible on the outside of any package sent by mail to or from the NRC, and direct that documents sent by mail include the SSN of an individual only when the head of the agency determines that including the SSN is necessary.

The section below lists the documents sent by mail that the NRC used during the past year and that included an individual's complete SSN, which was not visible on the outside of any package. About 90 percent of the listed documents are forms used across the Federal Government.

Listing of Documents Sent by Mail that Include Complete SSNs

Document Title	Document Identification Number
Contractor, Other Government Agency Employee, or Foreign Assignee Badge Request	NRC Form 89
Request for Access Authorization	NRC Form 237
Certification of Medical Examination by Facility Licensee	NRC Form 396
List ALL Owners, Officers, Directors, and Executive Personnel	NRC Form 405F
Request for Employment Information	Centers for Medicare and Medicaid Services L564
Certificate of Release or Discharge from Active Duty	DD-214
Notice of Occupational Disease and Claim for Compensation	U.S. Department of Labor CA-2
Claim for Compensation	U.S. Department of Labor CA-7
Fingerprint Cards	FD 258 and SF 87
Miscellaneous Income	Internal Revenue Service (IRS) Form 1099
Employee Express Health Benefits History Report	No identification number
Payroll Deductions for Post-1956 Military Deposit	No identification number
Post-1956 Military Deposit Payment Election Memorandum	No identification number
Transmittal to Payroll Operations Division	No identification number
Preliminary Information to Office of Federal Employees' Group Life Insurance Regarding the Death of an Employee	No identification number
Quick-Pay Worksheet for Employee Death in Service	No Identification number
Declaration for Federal Employment	OF 306

Estimated Earnings During Military Service	RI 20-97
Certification of Service Performed as a Law Enforcement Officer, Firefighter, Nuclear Materials Courier, Customs and Border Protection Officer (535 Service), or Air Traffic Controller	RI 20-124
Notification of Personnel Action	SF 50
Request for Personnel Action	SF 52
Classified Information Nondisclosure Agreement	SF 312
Verification of a Military Retiree's Service in Nonwartime Campaigns or Expeditions	SF 813
Record of Leave Data	SF 1150
Designation of Beneficiary: Unpaid Compensation of Deceased Civilian Employee	SF 1152
Claim for Compensation of Deceased Civilian Employee	SF 1153
Application for Death Benefits (Civil Service Retirement System (CSRS))	SF 2800
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (CSRS)	SF 2800A
Application for Immediate Retirement (CSRS)	SF 2801
Certified Summary of Federal Service (CSRS)	SF 2801-1
Spouse's Consent to Survivor Election	SF 2801-2
Schedules A, B, C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation	SF 2801-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures	SF 2801-D
Application for Refund of Retirement Deductions (CSRS)	SF 2802
Application to Make Deposit or Redeposit (CSRS)	SF 2803
Application to Make Voluntary Contributions (CSRS)	SF 2804
Designation of Beneficiary (CSRS)	SF 2808
Health Benefits Election Form	SF 2809
Notice of Change in Health Benefits Enrollment	SF 2810
Life Insurance Election (Federal Employees' Group Life Insurance Program (FEGLI) Program)	SF 2817

Continuation of Life Insurance Coverage as an Annuitant or Compensationer (FEGLI Program)	SF 2818
Notice of Conversion Privilege (FEGLI Program)	SF 2819
Certification of Insured Employee's Retired Status (FEGLI Program)	SF 2820
Agency Certification of Insurance Status (FEGLI Program)	SF 2821
Request for Insurance (FEGLI Program)	SF 2822
Designation of Beneficiary (FEGLI Program)	SF 2823
Designation of Beneficiary (Federal Employees Retirement System (FERS))	SF 3102
Application for Death Benefits (FERS)	SF 3104
Documentation and Election in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death	SF 3104B
Application for Refund of Retirement Deductions (FERS)	SF 3106
Application for Immediate Retirement (FERS)	SF 3107
Certified Summary of Federal Service (FERS)	SF 3107-1
Spouse's Consent to Survivor Election	SF 3107-2
Schedules A, B, and C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation Information	SF 3107-ABC
Schedule D: Agency Checklist of Immediate Retirement	SF 3107-D
Application to Make Service Credit Payment (FERS)	SF 3108
Application to Pay Military Deposit for Military Service Performed After December 31, 1956	SF 3108A
Election of Coverage (FERS)	SF 3109
Former Spouse's Consent to FERS Election (FERS)	SF 3110
Documentation in Support of Disability Retirement	SF 3112
Applicant's Statement of Disability (In Connection with Disability Retirement Under the CSRS or FERS)	SF 3112A
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P

Identical letter sent to:

The Honorable Carolyn B. Maloney
Chairman, Committee on Oversight
and Reform
United States House of Representatives
Washington, DC 20515
cc: Representative James Comer

The Honorable Richard Neal
Chairman, Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative Kevin Brady

The Honorable Gary C. Peters
Chairman, Committee on Homeland Security
and Governmental Affairs
United States Senate
Washington, DC 20510
cc: Senator Rob Portman

The Honorable Ron Wyden
Chairman, Committee on Finance
United States Senate
Washington, DC 20510
cc: Senator Mike Crapo

The Honorable Frank Pallone
Chairman, Committee on Energy
and Commerce
United States House of Representatives
Washington, DC 20515
cc: Representative Cathy McMorris Rodgers

The Honorable Thomas R. Carper
Chairman, Committee on Environment
and Public Works
United States Senate
Washington, DC 20510
cc: Senator Shelley Moore Capito

The Honorable Gerald Connolly
Chairman, Subcommittee on
Government Operations
House Committee on Oversight and Reform
United States House of Representatives
Washington, DC 20515
cc: Representative Jody Hice

The Honorable John Larson
Chairman, Subcommittee on Social Security
House Committee on Ways and Means
United States House of Representatives
Washington, DC 20515
cc: Representative Tom Reed