



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

CHAIRMAN

August 23, 2022

The Honorable Carolyn B. Maloney  
Chairwoman, Committee on Oversight  
and Reform  
United States House of Representatives  
Washington, DC 20515

Dear Madam Chairwoman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), as directed by the Social Security Number Fraud Prevention Act of 2017 (Public Law 115-59, 42 U.S.C. 405 note), I am submitting the NRC's final report containing the title and identification number of all of the types of documents sent by mail that the NRC used during the past year that include the complete social security account of an individual. As the NRC previously reported, the agency amended its regulations in August 2020, thereby complying with the Act's requirement that within 5 years of its enactment, CFO Act agencies issue regulations specifying the circumstances under which inclusion of a social security account number on a document sent by mail is necessary.

If you have questions or need additional information, please feel free to contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs at (301) 415-1776.

Sincerely,

A handwritten signature in black ink that reads "Christopher T. Hanson".

Christopher T. Hanson

Enclosure:  
As stated

cc: Representative James Comer

## NRC Final Report on Compliance with the Social Security Number Fraud Prevention Act of 2017

### Final Plan for Compliance

The U.S. Nuclear Regulatory Commission (NRC) has complied with the requirement from the Social Security Number Fraud Prevention Act of 2017 that by September 15, 2022, agencies issue regulations designed to protect and limit the use of social security numbers (SSNs). In August 2020, the NRC amended its regulations, Parts 9 and 35 of Title 10 of the *Code of Federal Regulations* (see 85 FR 33527), to specify when an individual's complete SSN is necessary, maximize the use of partially redacted SSNs where feasible, require that SSNs not be visible on the outside of any package sent by mail to or from the NRC, and direct that documents sent by mail include the SSN of an individual only when the head of the agency determines that including the SSN is necessary.

The section below lists the documents sent by mail that the NRC used during the past year and that included an individual's complete SSN, which was not visible on the outside of any package. About 90 percent of the listed documents are Governmentwide forms.

### Listing of Documents Sent by Mail that Include Complete SSNs

Document Title	Document Identification Number
Request for Access Authorization	NRC Form 237
Certification of Medical Examination by Facility Licensee	NRC Form 396
Request for Contractor Assignments	NRC Form 850
List ALL Owners, Officers, Directors, and Executive Personnel	NRC Form 405F
Request for Employment Information	Centers for Medicare and Medicaid Services L564
Notice of Occupational Disease and Claim for Compensation	U.S. Department of Labor CA-2
Claim for Compensation	U.S. Department of Labor CA-7
Fingerprint Cards	Federal document (FD) 258 and Standard Form (SF) 87
Miscellaneous Income	Internal Revenue Service (IRS) Form 1099
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P
Employee Express Health Benefits History Report	No identification number
Payroll Deductions for Post-1956 Military Deposit	No identification number
Post-1956 Military Deposit Payment Election Memorandum	No identification number
Transmittal to Payroll Operations Division	No identification number
Preliminary Information to Office of Federal Employees' Group Life Insurance Regarding the Death of an Employee	No identification number

<b>Document Title</b>	<b>Document Identification Number</b>
Quick-Pay Worksheet for Employee Death in Service	No Identification number
Notification to OPM of reemployment of annuitant	No identification number
Declaration for Federal Employment	Optional Form (OF) 306
Estimated Earnings During Military Service	Retirement & Insurance Form (RI) 20-97
Certification of Service Performed as a Law Enforcement Officer, Firefighter, Nuclear Materials Courier, Customs and Border Protection Officer (535 Service), or Air Traffic Controller	RI 20-124
Notification of Personnel Action	SF 50
Request for Personnel Action	SF 52
Classified Information Nondisclosure Agreement	SF 312
Verification of a Military Retiree's Service in Nonwartime Campaigns or Expeditions	SF 813
Designation of Beneficiary: Unpaid Compensation of Deceased Civilian Employee	SF 1152
Claim for Compensation of Deceased Civilian Employee	SF 1153
Application for Death Benefits (Civil Service Retirement System (CSRS))	SF 2800
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (CSRS)	SF 2800A
Application for Immediate Retirement (CSRS)	SF 2801
Certified Summary of Federal Service (CSRS)	SF 2801-1
Spouse's Consent to Survivor Election	SF 2801-2
Schedules A, B, C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation	SF 2801-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures	SF 2801-D
Application for Refund of Retirement Deductions (CSRS)	SF 2802
Application to Make Deposit or Redeposit (CSRS)	SF 2803
Application to Make Voluntary Contributions (CSRS)	SF 2804
Designation of Beneficiary (CSRS)	SF 2808
Health Benefits Election Form	SF 2809
Notice of Change in Health Benefits Enrollment	SF 2810

<b>Document Title</b>	<b>Document Identification Number</b>
Life Insurance Election (Federal Employees' Group Life Insurance Program (FEGLI) Program)	SF 2817
Continuation of Life Insurance Coverage as an Annuitant or Compensation (FEGLI Program)	SF 2818
Notice of Conversion Privilege (FEGLI Program)	SF 2819
Agency Certification of Insurance Status (FEGLI Program)	SF 2821
Request for Insurance (FEGLI Program)	SF 2822
Designation of Beneficiary (FEGLI Program)	SF 2823
Designation of Beneficiary (Federal Employees Retirement System (FERS))	SF 3102
Application for Death Benefits (FERS)	SF 3104
Documentation and Election in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death	SF 3104B
Application for Refund of Retirement Deductions (FERS)	SF 3106
Application for Immediate Retirement (FERS)	SF 3107
Certified Summary of Federal Service (FERS)	SF 3107-1
Spouse's Consent to Survivor Election	SF 3107-2
Schedules A, B, and C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation Information	SF 3107-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures (FERS)	SF 3107-D
Agency Checklist for Phased Retirement (FERS)	SF 3107 PR
Application to Make Service Credit Payment (FERS)	SF 3108
Application to Pay Military Deposit for Military Service Performed After December 31, 1956	SF 3108A
Election of Coverage (FERS)	SF 3109
Former Spouse's Consent to FERS Election (FERS)	SF 3110
Documentation in Support of Disability Retirement	SF 3112
Applicant's Statement of Disability (In Connection with Disability Retirement Under the CSRS or FERS)	SF 3112A
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P
Notification to the Office of Personnel Management of Separation of a Reemployed Annuitant—No Benefits Payable—Attachment 2	SF 3116

Identical letter sent to:

The Honorable Carolyn B. Maloney  
Chairwoman, Committee on Oversight  
and Reform  
United States House of Representatives  
Washington, DC 20515  
cc: Representative James Comer

The Honorable Richard Neal  
Chairman, Committee on Ways and Means  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Kevin Brady

The Honorable Gary C. Peters  
Chairman, Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510  
cc: Senator Rob Portman

The Honorable Ron Wyden  
Chairman, Committee on Finance  
United States Senate  
Washington, DC 20510  
cc: Senator Mike Crapo

The Honorable Frank Pallone, Jr.  
Chairman, Committee on Energy  
and Commerce  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Cathy McMorris Rodgers

The Honorable Thomas R. Carper  
Chairman, Committee on Environment  
and Public Works  
United States Senate  
Washington, DC 20510  
cc: Senator Shelley Moore Capito

The Honorable Gerald Connolly  
Chairman, Subcommittee on  
Government Operations  
House Committee on Oversight and Reform  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Jody Hice

The Honorable John Larson  
Chairman, Subcommittee on Social Security  
House Committee on Ways and Means  
United States House of Representatives  
Washington, DC 20515  
cc: Representative David Schweikert