



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION I
475 ALLENDALE ROAD - SUITE 102
KING OF PRUSSIA, PA 19406-1415

November 9, 2023

EA-23-076

Kelly Trice
President - HDI
Holtec Decommissioning International, LLC
Krishna P. Singh Technology Campus
1 Holtec Blvd.
Camden, NJ 08104

SUBJECT: OYSTER CREEK NUCLEAR GENERATING STATION - NOTICE OF VIOLATION AND PROPOSED IMPOSITION OF CIVIL PENALTY - \$43,750 – NRC INSPECTION REPORT NO. 05000219/2023002

Dear Kelly Trice:

This letter refers to the inspection conducted by the U.S. Nuclear Regulatory Commission (NRC) at the Oyster Creek Nuclear Generating Station (Oyster Creek) between January 1 – June 30, 2023. The purpose of the inspection was to examine activities conducted under your license as they relate to safety and compliance with the NRC's rules and regulations and the conditions of your license.

Based on the results of the inspection, the NRC staff identified one apparent violation (AV) of NRC requirements. The NRC staff discussed this AV with members of your staff during the inspection exit meeting on July 11, 2023. The AV was described in the inspection report sent to you with a letter dated August 22, 2023 (ML23214A247).¹ The AV involved the failure by Holtec Decommissioning International, LLC (HDI) staff at Oyster Creek to prepare radioactive materials for shipment so that under conditions normally incident to transportation the radiation level did not exceed 200 millirem/hour (mrem/h) at any point on the external surface of the package, as required by Section 173.441 of Title 49 of the *Code of Federal Regulations* (49 CFR). Pursuant to 10 CFR 71.5, licensees who transport licensed materials on public highways must comply with the requirements of the U.S. Department of Transportation (DOT) regulations in 49 CFR Parts 171 through 180.

In the August 22, 2023, letter transmitting the inspection report, we informed you that the AV was being considered for escalated enforcement action, including a civil penalty. In the letter, we provided you the opportunity to address the AV identified in the report by either attending a pre-decisional enforcement conference, engaging the NRC in Alternative Dispute Resolution (ADR) mediation, or by providing a written response before we made our final enforcement decision. In a letter dated September 6, 2023 (ML23249A121), HDI provided a response to the AV and described the root cause as a deficiency in the radioactive material shipping procedure,

¹ Designation in parentheses refers to an Agency-wide Documents Access and Management System (ADAMS) accession number. Documents referenced in this letter are publicly-available using the accession number in ADAMS.

which, contrary to industry guidelines, did not require a final verification survey of the transportation package in all instances. HDI also noted contributing causes involving inadequacies with oversight, risk determination, coordination, communication, and procedure adherence. In addition, HDI described the actions taken and planned to prevent recurrence of the issues that led to the AV.

Based on the information developed during the inspection and the information that you provided in your written response to the inspection report dated September 6, 2023, the NRC has determined that a violation of NRC requirements occurred and is proceeding with enforcement action. The violation is cited in the Notice of Violation and Proposed Imposition of Civil Penalty (Notice) provided as Enclosure 1. The circumstances surrounding the violation are described in detail in the subject inspection report. As stated above, the violation involved the failure by HDI staff at Oyster Creek to prepare radioactive materials for shipment so that under conditions normally incident to transportation the radiation level did not exceed 200 mrem/h at any point on the external surface of the package. Specifically, on May 3, 2023, HDI staff at Oyster Creek shipped reactor segmentation tooling to the Indian Point Energy Center (Indian Point) in an Open Transport vehicle. The segmentation tool was packaged in an Industrial Package Type IP-1 package (i.e., a reinforced polyethylene bag). The radiation survey to verify that the contact dose rates on the loaded package were within regulatory limits was performed by HDI staff at Oyster Creek on the packaged tool prior to the package being strapped down in its final shipping configuration. This survey identified a maximum contact dose rate of 120 mrem/h. The initial receipt survey performed by HDI staff at Indian Point identified a maximum contact dose rate of 450 mrem/h on top of the package, which exceeds the 200 mrem/h limit specified in 49 CFR 173.441. HDI staff at Indian Point initiated actions to properly control the item and notified Oyster Creek staff and, subsequently, the NRC of this event.

The NRC considered that the failure to perform an adequate survey by HDI staff at Oyster Creek resulted in a shipment that exceeded NRC and DOT regulatory limits, which potentially could have caused individuals associated with or in close proximity to the shipment to have received unintended and excess radiation exposure. Therefore, the NRC has assessed this violation at Severity Level (SL) III, in accordance with the NRC Enforcement Policy. The current Enforcement Policy is included on the NRC's Web site at <http://www.nrc.gov/about-nrc/regulatory/enforcement/enforce-pol.html>.

In accordance with the civil penalty assessment process in Section 2.3.4 of the Enforcement Policy, a base civil penalty in the amount of \$43,750 is typically considered for an SL III violation by an independent spent fuel storage installation (ISFSI) facility (see Tables 8A.c and 8B of the Enforcement Policy). For the purposes of determining the appropriate civil penalty category in Table 8A, the NRC staff considered that at the time of the violation, Oyster Creek had removed all fuel from the reactor vessel, completed the transfer of all irradiated fuel to the on-site ISFSI and had received related NRC license amendments documenting changes to major programs supporting the transition from power operations to decommissioning. Therefore, the NRC concluded that it was appropriate to consider Oyster Creek under the ISFSI civil penalty category in this case.

Because your facility has been the subject of escalated enforcement actions within the last two years,² the NRC considered whether credit is warranted for Identification and Corrective Action in accordance with the civil penalty assessment process in Section 2.3.4 of the Enforcement Policy. The NRC determined that identification credit is not warranted because the violation was revealed through an event. The NRC has concluded that credit is warranted for HDI's corrective actions taken to address this specific violation. Namely, as described in HDI's letter dated September 6, 2023, HDI revised its shipping procedures to emphasize the potential for soft-sided package geometry to be changed during the process of securing the package for transport and to require a verification shipping survey after the package has been secured. The HDI letter described additional planned actions, to include: (1) training radiation protection technicians and supervisors on the potential for soft-sided packaging geometry to change during the shipping process; (2) establishing a "pre-job briefing" process for all shipping activities; (3) developing a risk assessment procedure for shipments from decommissioning sites; (4) establishing a loading plan process for shipments to coordinate the activities of all involved parties; and (5) elevating the shipping procedure classification from "information use" to "reference use."

Therefore, to emphasize the importance of compliance with limits on radiation levels and of prompt identification of violations, and in recognition of your previous escalated enforcement action, I have been authorized, after consultation with the Director, Office of Enforcement, to issue the Notice of Violation and Proposed Imposition of Civil Penalty (Notice) provided as Enclosure 1, in the base amount of \$43,750 for the SL III violation. In addition, issuance of this Notice constitutes escalated enforcement action that may subject you to increased inspection effort. This civil penalty follows multiple escalated enforcement actions within a two year period and suggests that HDI may consider additional actions to prevent future escalated enforcement actions.

The NRC staff considered HDI's request in its September 6, 2023, letter that the NRC not propose a civil penalty. Specifically, HDI noted that this shipping violation occurred on May 3, 2023, and the incident associated with the previous escalated enforcement action occurred on May 7-8, 2021 (which is almost outside the two-year window). HDI also noted that Oyster Creek has had no previous shipping violations despite conducting about 200 radioactive shipments per year since entering decommissioning. Finally, HDI offered that Indian Point (another HDI facility) identified the violation and that robust corrective actions would prevent reoccurrence of this issue.

Regarding HDI's previous escalated enforcement action at Oyster Creek, Section 2.3.4 of the Enforcement Policy states that for an NRC-identified violation, the starting point of the two-year assessment period is when the NRC puts the licensee on notice of the need to take corrective action, which is typically at the inspection exit meeting. Because the inspection exit meeting for the May 2021 incident took place on July 15, 2021, that is the appropriate starting point for the two-year review period. Furthermore, as noted in the Enforcement Policy, the process considers whether the licensee has had any previous escalated enforcement action "regardless of the activity area." Therefore, all past escalated actions are considered, not just those involving transportation or radiological surveys.

Regarding identification of the issue by HDI staff at Indian Point, the NRC staff noted that the staff at Indian Point did not initially identify that the situation involved a violation of NRC requirements. That failure resulted in the issuance of a violation to Indian Point (ML23215A139)

² An escalated enforcement action was issued on December 21, 2021 (EA-21-093) involving security-related violations.

for failure to immediately report the occurrence to the NRC as required by 10 CFR 20.1906(d)(2). Moreover, the NRC staff considered the following applicable factors in Section 2.3.4.b of the Enforcement Policy for determining whether identification credit was warranted:

- a) Whether the problem requiring corrective action was identified by the NRC, identified by the licensee, or revealed through an event. *Because this violation was identified by a third-party after the shipment had occurred on public highways, the NRC staff's view is that the problem is best classified as involving an event. The Enforcement Policy defines an event, in part, as "a radiological impact on personnel or the environment in excess of regulatory limits." This issue involved a shipment that exceeded NRC and DOT dose rate limits.*
- b) Whether prior opportunities existed to identify the problem requiring corrective action, and if so, the age and number of those opportunities. *Prior opportunities existed for HDI staff at Oyster Creek to identify the problem through proper performance of the shipping survey.*
- d) For a problem revealed through an event, the ease of discovery and the degree of licensee initiative in identifying the root cause of the problem and any associated violations. *The licensee would have readily identified the violation if a proper survey had been performed after the package was loaded for transport.*

Based on the above considerations, the NRC staff concluded that credit for identification is not warranted. As discussed above, the NRC staff determined that credit for corrective action was warranted based on HDI's actions both taken and planned in response to this issue.

You may choose to pay the proposed civil penalty by submitting your payment, with the invoice enclosed to this letter (Enclosure 2), to the following address:

Office of the Chief Financial Officer
U.S. Nuclear Regulatory Commission
P.O. Box 979051
St. Louis, MO 63197

In addition, you may pay the proposed civil penalty in accordance with NUREG/BR-0254, "Payment Methods," provided as Enclosure 3. When using NUREG/BR-0254 to pay the civil penalty, the invoice number should be used as the "enforcement action identifier" when submitting your payment through one of the approved methods listed in the brochure. The NRC may consider a request for additional time to pay the proposed civil penalty, including the option to enter into an installment agreement, if payment of the civil penalty as a lump sum in the required timeframe would pose a financial hardship. To request additional time to pay, you must submit a written request, with appropriate justification explaining your financial hardship, to NRCCollections.Resource@nrc.gov. All requests should be submitted in sufficient time to allow the NRC the ability to review your request for additional time to pay before the 30-day payment period expires.

If you disagree with this enforcement sanction, you may deny the violation, as described in the Notice, or you may request ADR with the NRC in an attempt to resolve this issue. ADR is a general term encompassing various techniques for resolving conflicts using a neutral third party. The technique that the NRC has decided to employ is mediation. Mediation is a voluntary, informal process in which a trained neutral third party (the "mediator") works with all parties to

help them reach resolution. If the parties agree to use ADR, they select a mutually agreeable neutral mediator who has no stake in the outcome and no power to make decisions. Mediation gives parties an opportunity to discuss issues, clear up misunderstandings, be creative, find areas of agreement, and reach a final resolution of the issues. Additional information concerning the NRC's ADR program can be found at <http://www.nrc.gov/about-nrc/regulatory/enforcement/adr.html>.

The Institute on Conflict Resolution (ICR) at Cornell University has agreed to facilitate the NRC's program as a neutral third party. If you are interested in pursuing this issue through the ADR program, please contact: (1) the ICR at (877) 733-9415; and (2) Anthony Dimitriadis at (610) 337-6953 or Anthony.Dimitriadis@nrc.gov within 10 days of the date of this letter. You may also contact both ICR and Anthony Dimitriadis for additional information. Your submitted signed agreement to mediate using the NRC ADR program will stay the 30-day time period for payment of the civil penalty and the written response (if you choose to provide one), as identified in the enclosed Notice, until the ADR process is completed.

The NRC has concluded that information regarding: (1) the reason for the violation, or if contested, the basis for disputing the violation; (2) the corrective steps that have been taken and the results achieved; and (3) the corrective steps that will be taken; and (4) the date when full compliance was achieved is already adequately addressed on the docket in Inspection Report No. 05000219/2023002 and in your letter dated September 6, 2023. Therefore, you are not required to respond to this letter unless the description therein does not accurately reflect your corrective actions or your position. In that case, or if you choose to provide additional information, you should follow the instructions specified in the enclosed Notice.

In accordance with 10 CFR 2.390 of the NRC's "Rules of Practice," a copy of this letter, its enclosures, and your response, if you choose to provide one, will be made available electronically for public inspection in the NRC Public Document Room and from the NRC's Agencywide Documents Access and Management System (ADAMS), accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html>. To the extent possible, any response should not include any personal privacy, proprietary, or safeguards information so that it can be made available to the public without redaction. The NRC also includes significant enforcement actions on its website at <https://www.nrc.gov/about-nrc/regulatory/enforcement/current.html>.

Kelly Trice

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If you have any questions concerning this matter, please contact Anthony Dimitriadis of my staff at 610-337-6953 or Anthony.Dimitriadis@nrc.gov.

Sincerely,

Raymond K. Lorson
Regional Administrator

Enclosures:

1. Notice of Violation
2. Civil Penalty Invoice
3. NUREG/BR-0254, "Payment Methods"

Docket No. 50-219
License No. DPR-16

cc w/encl: Distribution via ListServ

SUBJECT: OYSTER CREEK NUCLEAR GENERATING STATION - NOTICE OF VIOLATION AND PROPOSED IMPOSITION OF CIVIL PENALTY - \$43,750 – NRC INSPECTION REPORT NO. 05000219/2023002 DATED

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OFFICE	RI/ORA	RI/DRSS	RI/ORA	RI/ORA	OE	NMSS
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DATE	9/20/23	9/21/23	9/25/23	9/25/23	10/6/23	10/6/23
OFFICE	OGC				RI/DRSS	RA
NAME	M Simon NLO				P Krohn	R Lorson
DATE	10/25/23				10/30/23	11/09/23

OFFICIAL RECORD COPY

ENCLOSURE 1

NOTICE OF VIOLATION
AND
PROPOSED IMPOSITION OF CIVIL PENALTY

Holtec Decommissioning International, LLC
Oyster Creek Nuclear Generating Station

Docket No.: 50-219
License No.: DPR-16
EA-23-076

During an NRC inspection conducted between January 1, 2023, and June 30, 2023, a violation of NRC requirements was identified. In accordance with the NRC Enforcement Policy, the NRC proposes to impose a civil penalty pursuant to Section 234 of the Atomic Energy Act of 1954, as amended (Act), 42 U.S.C. 2282, and 10 CFR 2.205. The particular violation and associated civil penalty is set forth below:

Title 10 CFR 71.5 requires, in part, that each licensee who transports licensed materials on public highways shall comply with the requirements of the U.S. Department of Transportation regulations in 49 CFR Parts 171 through 180. Title 49 CFR 173.441 requires that each package of radioactive material offered for transportation, including those transported by “*Exclusive Use*” shipment in an open transport vehicle, be designed and prepared for shipment so that, under conditions normally incident to transportation, the radiation level does not exceed 200 mrem/h at any point on the external surface of the package.

Contrary to the above, on May 3, 2023, Holtec Decommissioning International, LLC failed to prepare a package of radioactive material for transportation such that, under conditions normally incident to transportation, the radiation level did not exceed 200 mrem/h at any point on the external surface of the package. Specifically, the licensee shipped a package containing radioactive material using *Exclusive Use* controls in an open transport vehicle. When the shipment arrived at the Indian Point Energy Center, radiation levels of 450 mrem/h were measured on top of the external surface of the alignment fixture package during the receipt survey, exceeding the regulatory requirement of 200 mrem/h.

This is a Severity Level III Violation (NRC Enforcement Policy Section 6.8).
Civil Penalty - \$43,750 (EA-23-076)

The NRC has concluded that information regarding the reason for the violation, the corrective actions taken and planned to correct the violation and prevent recurrence, and the date when full compliance was achieved is already adequately addressed on the docket in Inspection Report No. 05000219/2023002 and in the letter from the Licensee dated September 6, 2023. However, if the description therein does not accurately reflect your position or your corrective actions, you are required to submit a written statement or explanation pursuant to 10 CFR 2.201 within 30 days of the date of the letter transmitting this Notice of Violation. In that case, or if you choose to respond, clearly mark your response as a Reply to a Notice of Violation, and send it to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, One White Flint North, 11555 Rockville Pike, Rockville, MD 20852-2738, with copies to the Regional Administrator, U.S. Nuclear Regulatory Commission, Region I, 475 Allendale Rd., Suite 102, King of Prussia, PA 19406-1415, and the Document Control Desk, Washington, DC 20555-0001.

The Licensee may pay the civil penalty proposed above through one of the following two methods:

1. Submit the payment with the enclosed invoice for Civil Penalty EA-23-076, issued to Holtec Decommissioning International, LLC, to the following address:

Office of the Chief Financial Officer
U.S. Nuclear Regulatory Commission
P.O. Box 979051
St. Louis, MO 63197

OR

2. Submit the payment in accordance with NUREG/BR-0254.

The Licensee may protest the imposition of the civil penalty in whole or in part, by a written answer addressed to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, within 30 days of the date of this Notice. Should the Licensee fail to answer within 30 days of the date of this Notice, the NRC will issue an order imposing the civil penalty. Should the Licensee elect to file an answer in accordance with 10 CFR 2.205 protesting the civil penalty, in whole or in part, such answer should be clearly marked as an "Answer to a Notice of Violation" and may: (1) deny the violation listed in this Notice, in whole or in part; (2) demonstrate extenuating circumstances; (3) show error in this Notice; or (4) show other reasons why the penalty should not be imposed. In addition to protesting the civil penalty in whole or in part, such answer may request remission or mitigation of the penalty.

In requesting mitigation of the proposed penalty, the response should address the factors addressed in Section 2.3.4 of the Enforcement Policy. Any written answer addressing these factors pursuant to 10 CFR 2.205 should be set forth separately from the statement or explanation provided pursuant to 10 CFR 2.201 but may incorporate parts of the 10 CFR 2.201 reply by specific reference (e.g., citing page and paragraph numbers) to avoid repetition. The attention of the Licensee is directed to the other provisions of 10 CFR 2.205 regarding the procedure for imposing a civil penalty.

Upon failure to pay any civil penalty which subsequently has been determined in accordance with the applicable provisions of 10 CFR 2.205 to be due, this matter may be referred to the Attorney General, and the penalty, unless compromised, remitted, or mitigated, may be collected by civil action pursuant to Section 234 of the Act, 42 U.S.C. 2282(c).

The responses noted above (i.e., Reply to Notice of Violation, Statement as to payment of civil penalty, and Answer to a Notice of Violation), should be addressed to: Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, One White Flint North, 11555 Rockville Pike, Rockville, MD 20852-2738, with copies to the Regional Administrator, U.S. Nuclear Regulatory Commission, Region I, 475 Allendale Rd., Suite 102, King of Prussia, PA 19406-1415, and the NRC Document Control Desk, Washington, DC 20555-0001.

If you choose to respond, your response will be made available electronically for public inspection in the NRC Public Document Room or in the NRC's Agencywide Documents Access and Management System (ADAMS), accessible from the NRC website at <http://www.nrc.gov/reading-rm/adams.html>. To the extent possible, your response should not

include any personal privacy, proprietary, classified or safeguards information so that it can be made available to the public without redaction. If personal privacy or proprietary information is necessary to provide an acceptable response, then please provide a bracketed copy of your response that identifies the information that should be protected and a redacted copy of your response that deletes such information. If you request that such material is to be withheld from public disclosure, you must specifically identify the portions of your response that you seek to have withheld and provide in detail the bases for your claim (e.g., explain why the disclosure of information will create an unwarranted invasion of personal privacy or provide the information required by 10 CFR 2.390(b) to support a request for withholding confidential commercial or financial information). If safeguards information is necessary to provide an acceptable response, please provide the level of protection described in 10 CFR 73.21.

In accordance with 10 CFR 19.11, you may be required to post this Notice within two working days of receipt.

Dated this 9th day of November, 2023.

ENCLOSURE 2

Civil Penalty Invoice



HOLTEC DECOMMISSIONING INTERNATIONAL, LLC
KRISHNA P. SINGH TECH CAMPUS; 1 HOLTEC
BLVD
CAMDEN, NJ 08104



Address/Customer Information

HOLTEC DECOMMISSIONING INTERNATIONAL, LLC
KRISHNA P. SINGH TECH CAMPUS; 1 HOLTEC BLVD
CAMDEN, NJ 08104

Customer Codes

Account Code: L000002111/1

Bill Information

Bill Number: EA-23-076
Amount Due: \$43,750.00

Contact Us

Phone Number: 301-415-7554
Fax Number: 301-415-4135
Email Address: Fees.Resource@nrc.gov

Remit to Address

Office of the Chief Financial Officer
U.S. Nuclear Regulatory Commission
P.O. Box 979051
St. Louis, MO 63197

Bill Summary

Initial Charges	\$43,750.00
Discount	0.00
Surcharge	0.00
Interest Charges	0.00
Penalty Charges	0.00
Admin Charges	0.00
Bill Amount	\$43,750.00
Collected	0.00
Applied Credit	0.00
Adjustments	0.00
Amount Due	\$43,750.00

Credit Summary

Applied Credit	\$0.00
Unapplied Credit	0.00
Credit Total	\$0.00

Comments:

For questions, contact (301) 415-7554 or by email at Fees.Resource@nrc.gov. For NRC debt collection procedures, including interest and penalty provisions, see 31 U.S.C. 3717, 4 CFR 101-105, AND 10 CFR 15.

For questions, contact (301) 415-7554 or by email at Fees.Resource@nrc.gov. For NRC debt collection procedures, including interest and penalty provisions, see 31 U.S.C. 3717, 4 CFR 101-105, AND 10 CFR 15. Invoice if for Civil Penalty EA., issued to Holtec Decommissioning International, LLC.

Customer Information

L000002111/1
HOLTEC DECOMMISSIONING INTERNATIONAL, LLC
KRISHNA P. SINGH TECH CAMPUS; 1 HOLTEC BLVD
CAMDEN, NJ 08104

Change of Address:

Phone:

Remittance Information

Office of the Chief Financial Officer
U.S. Nuclear Regulatory Commission
P.O. Box 979051
St. Louis, MO 63197

This Payment References the following Bill:

EA-23-076

Outstanding Amount Due: \$43,750.00

Amount Enclosed: _____

ENCLOSURE 3
NUREG/BR-0254, "Payment Methods"

QUESTIONS?

If you have questions, please visit <https://www.nrc.gov> and search for "License Fees."

Questions may also be directed to the NRC Accounts Receivable Help Desk by e-mail at nrc@fiscal.treasury.gov, by phone at (301) 415-7554, or by writing to the address below:

U.S. NUCLEAR REGULATORY COMMISSION
OCFO/DOC/ARB
Mail Stop T9-E10
Washington, DC 20555-0001



Payment Methods

U.S. NUCLEAR REGULATORY COMMISSION
OCFO/DOC/ARB
Mail Stop T-9-E10
Washington, DC 20555-0001
PH (301) 415-7554



NUREG/BR-0254, Rev. 9
June 2019



@NRCgov

Estimated burden per response to comply with this voluntary collection request: 10 minutes. This brochure provides information about available payment methods. Forward comments about to burden estimate to the Records Management Branch (T6-F33), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, and to the Paperwork Reduction Project (3150-0190), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

NRC accepts the methods described below.

PAYMENT BY AUTOMATED CLEARINGHOUSE

To pay by Automated Clearinghouse/Electronic Data Interchange (ACH/EDI), provide a copy of NRC Form 628 to your financial institution. You may obtain a copy of NRC Form 628 from the NRC Web site at <http://www.nrc.gov> by searching for "NRC Form 628." You can also obtain a form by calling the NRC Accounts Receivable Help Desk at (301) 415-7554.

PAYMENT BY CREDIT CARD

The NRC is currently accepts credit card payments of up to \$24,999.99. For payment by credit card, go to Pay.gov, search for "U.S. Nuclear Regulatory Commission Fees" and enter the required information.

You may also mail or fax NRC Form 629 following the directions on the form. To obtain a copy of NRC Form 629 go to <http://www.nrc.gov> and search for "NRC Form 629" or call the NRC Accounts Receivable Help Desk at (301) 415-7554.



PAYMENT BY FEDWIRE DEPOSIT SYSTEM

The NRC can receive funds through the U.S. Department of the Treasury (Treasury) Fedwire Deposit System. The basic wire message format below complies with the Federal Reserve Board's standard structured third-party format for all electronic funds transfer (EFT) messages.

See the sample EFT message to Treasury below. Each numbered field is described below.

The diagram shows an EFT message structure with the following fields and their corresponding numbers:

- 1: TO (021030004)
- 2: FROM (021030004)
- 3: ORDERING BANK AND RELATED DATA
- 4: REF (16-character reference number)
- 5: AMOUNT
- 6: ORDERING BANK AND RELATED DATA
- 7: TREAS NYC/CTR
- 8: BNF-/AC-31000001 OBI-
- 9: BNF-/AC-31000001
- 10: OBI-

1 RECEIVER-DFI# – Treasury's ABA number for deposit messages is 021030004.

2 TYPE-SUBTYPE-CD – The sending bank will provide the type and subtype code.

3 SENDER-DFI# – The sending bank will provide this number.

4 SENDER-REF# – The sending bank will insert this 16-character reference number at its discretion.

5 AMOUNT – The transfer amount must be punctuated with commas and decimal point; use of the "\$" is optional. The depositor will provide this item.

6 SENDER-DFI-NAME – The Federal Reserve Bank will automatically insert this information.

7 RECEIVER-DFI-NAME – Treasury's name for deposit messages is "TREAS NYC". The sending bank will enter this name.

8 PRODUCT CODE – A product code of "CTR" for customer transfer should be the first item in the receiver text field. Other values may be entered, if appropriate, using the ABA's options. A slash must be entered after the product code.

9 AGENCY LOCATION CODE (ALC) – THIS ITEM IS OF CRITICAL IMPORTANCE. IT MUST APPEAR ON THE FUNDS TRANSFER DEPOSIT MESSAGE IN THE PRECISE MANNER AS STATED TO ALLOW FOR THE AUTOMATED PROCESSING AND CLASSIFICATION OF THE FUNDS TRANSFER MESSAGE TO THE AGENCY LOCATION CODE OF THE APPROPRIATE AGENCY. The ALC identification sequence can, if necessary, begin on one line and end on the next line; however, the field tag "BNF=" must be on one line and cannot contain any spaces. The NRC's 8-digit ALC is: BNF=/AC-31000001

10 THIRD-PARTY INFORMATION – The Originator to Beneficiary Information (OBI) field tag "OBI=" signifies the beginning of the free-form third-party text. All other identifying information intended to enable the NRC to identify the deposit—for example, NRC annual fee invoice number, description of fee, 10 CFR 171 annual fee, and licensee name—should be placed in this field.

The optimum format for fields 7, 8, 9, and 10 using an 8-digit ALC is as follows:

TREAS NYC/CTR/BNF=/AC-31000001 OBI=

The optimum format, shown above, will allow 219 character positions of information following the "OBI=" indicator.

If the licensee's bank is not a member of the Federal Reserve System, the nonmember bank must transfer the necessary information and funds to a member bank, which then must transfer the information and funds to the local Federal Reserve Bank.

For a transfer of funds from local Federal Reserve Banks to be recorded on the same day, the transfer must be received at the New York Federal Reserve Bank by 4 p.m., EST. Otherwise, the deposit will be recorded on the next workday.

PAYMENT BY CHECK

Checks should be made payable to the U.S. Nuclear Regulatory Commission with the invoice number, Enforcement Action number, or other information that identifies the payment, written on the check. Mail the check to the following address:

U.S. Nuclear Regulatory Commission
U.S. Bank
P.O. Box 979051
St. Louis, MO 63197-9000

FedEx or overnight mailings must be delivered to the following address:

U.S. Nuclear Regulatory Commission
U.S. Bank Government Lockbox
SL-MO-C2GL
1005 Convention Plaza
St. Louis, MO 63101

TAXPAYER IDENTIFICATION NUMBER

You must file your Taxpayer Identification Number (TIN) with the NRC. Use NRC Form 531 to provide your TIN. You may obtain NRC Form 531 from the NRC Web site at <http://www.nrc.gov> by searching for "NRC Form 531" or by calling the NRC Accounts Receivable Help Desk at (301) 415-7554.